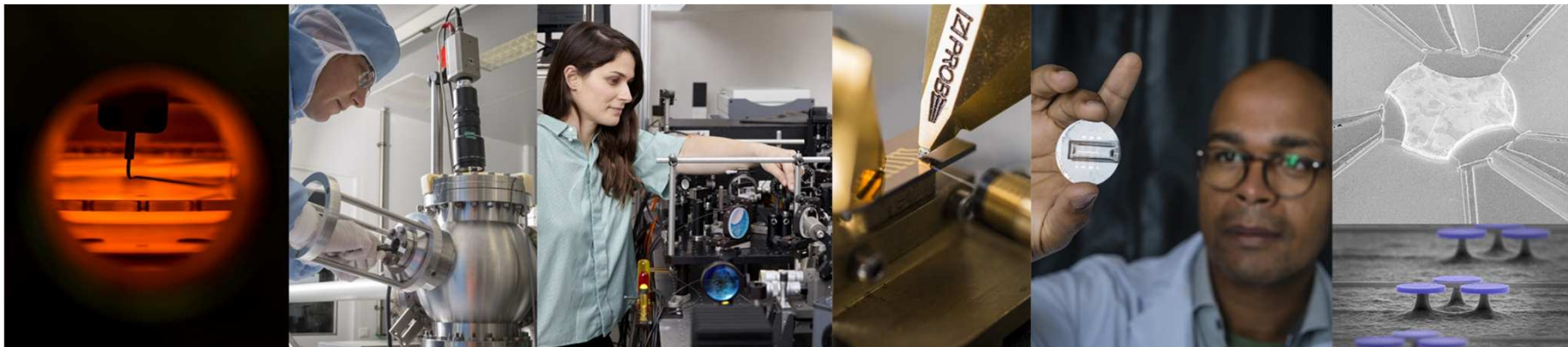




Centre de Nanosciences et de Nanotechnologies

*Center for Nanoscience and
Nanotechnology*



A new research center for nanoscience

- Created in June 2016, merging of two labs IEF-upsud and LPN-cnrs
- 400 members:
 - 200 permanent researchers, engineers and admin staff
 - More than 100 PhD students and Post-docs
 - 37 nationalities
- 4 research departments
- 6 technology platforms
- A new building in the heart of Paris-Saclay
 - 18 000 m², including 2 900 m² high class cleanroom facilities



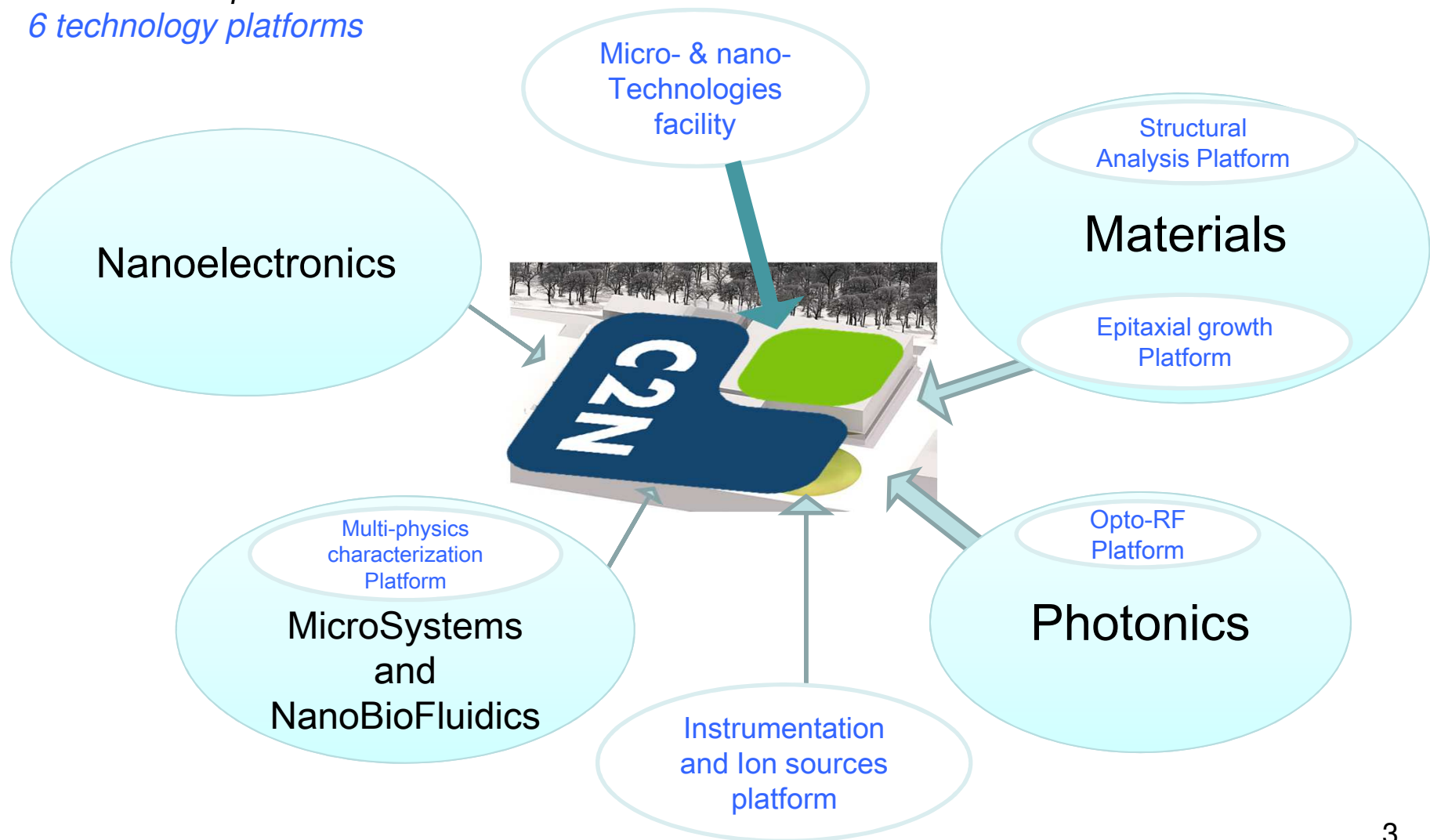
Photos: Sergio Grazia



C2N Research and Platforms

4 research departments

6 technology platforms



Photonique hybride III-V sur Si :

Caractérisation structurale, optique et électrique de l'interface

A.Talneau⁽¹⁾, G.Beaudoin⁽¹⁾, K.Pantzas⁽¹⁾, F.Ducroquet⁽²⁾, D.Alamarguy⁽³⁾ and G.Patriarche⁽¹⁾

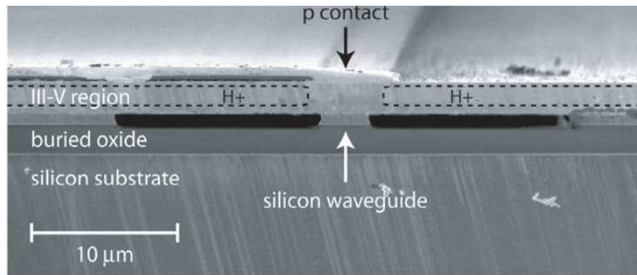
(1) C2N, Centre de Nanosciences et de Nanotechnologies 10 Boulevard Thomas Gobert, 91120 Palaiseau

(2) IMEP LAHC Univ. Grenoble Alpes F-38000 Grenoble

(3) GeEPs Group of Electrical engineering Centrale Supélec Univ Paris-Sud Plateau du Moulon F-91192 Gif sur Yvette

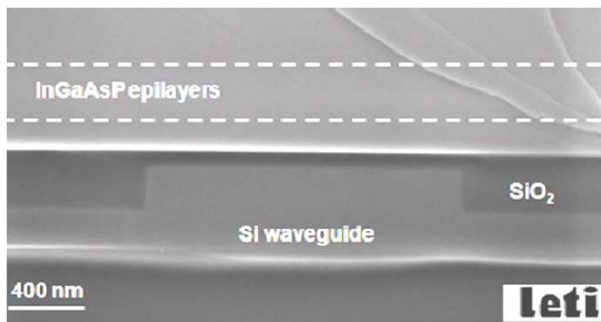
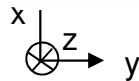
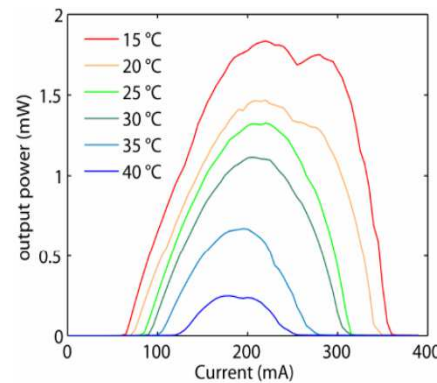
- ◆ Intégration hybride III-V sur Si : état de l'art
- ◆ Collage Oxide-free: caractérisation structurale et optique de l'interface
- ◆ Collage oxide @ 300°C : préparation des surfaces PEALD-SiO₂ et Ozone
- ◆ Caractérisation XPS des surfaces activées
- ◆ Mesure de l'énergie du joint de collage par nanoindentation
- ◆ Performances de dispositifs photoniques comportant un interface hybride
- ◆ Mesure du transport électrique à travers l'interface hybride
- ◆ Conclusion

State of the art: Hybrid optoelectronic III-V/Si devices operating at 1.55 μm including a Oxide-mediated bonded interface



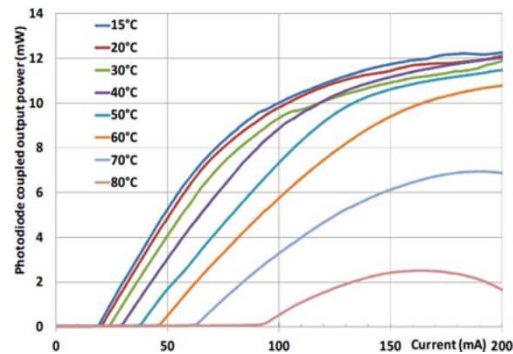
5nm-thick RIE SiO₂ bonding layer

A.W.Fang et al., *MaterialsToday*, **10**,28 (2007)

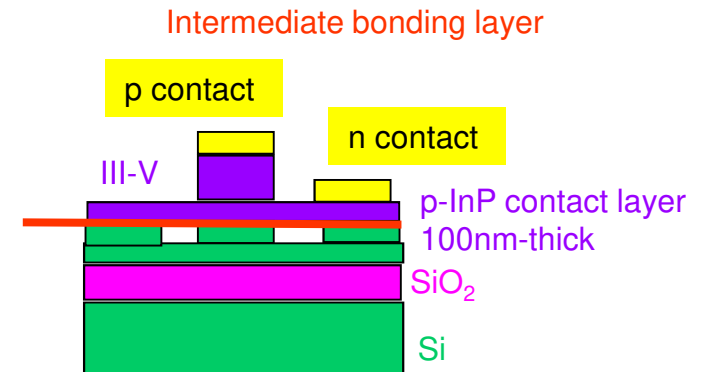


50-100nm thick PECVD SiO₂ layer
CMP planarized prior bonding

B.BenBakir et al., *Optics Express*, **19**,10317 (2011)



G.H.Duan et al., *J. Light. Technol.*, **33**, 976 (2015)



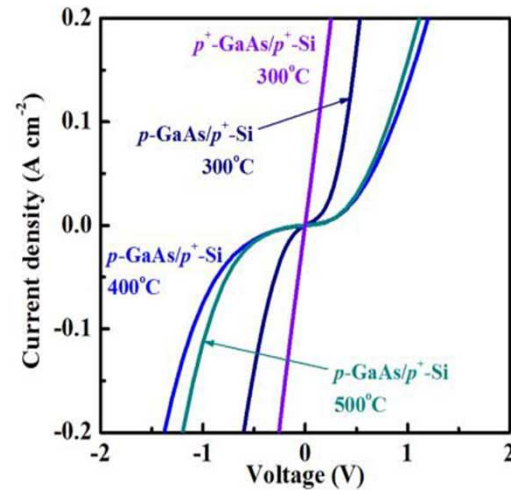
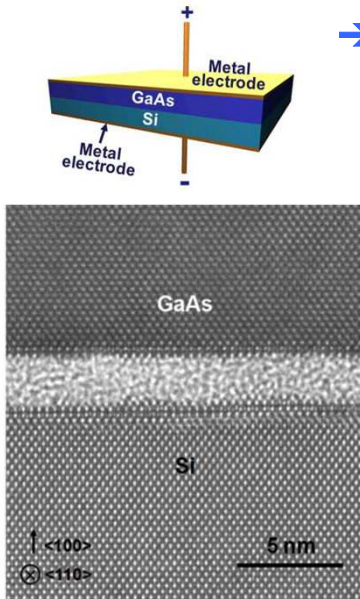
The oxide layer

- poor thermal conductivity
- low optical index material in the core of the device
- prevent electrical transport at the interface

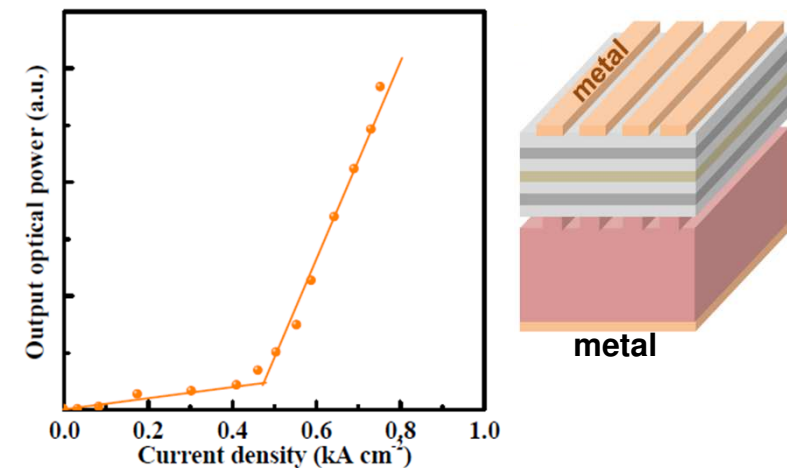
→ The thinnest layer will optimize the optical performances and allow electrical operation of the device through the hybrid interface

State of the art: Electrical injection through III-V / Si hybrid interface

→ GaAs /Si direct-bonded heterointerface



→ InAs/GaAs QD lasers on Si rib waveguides with current injection through the heterointerface

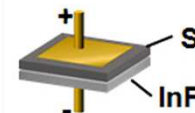
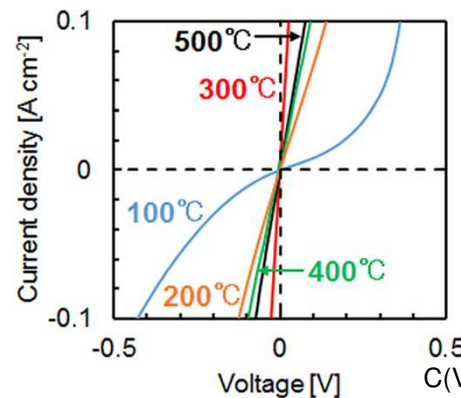


K. Tanabe, K. Watanabe, and Y. Arakawa, *Scientific Reports*, **2**, 349 (2012)

K. Tanabe, K. Watanabe, and Y. Arakawa, *Optics Express* **20**, B315 (2012)

→ Ohmic InP/Si direct-bonded heterointerface

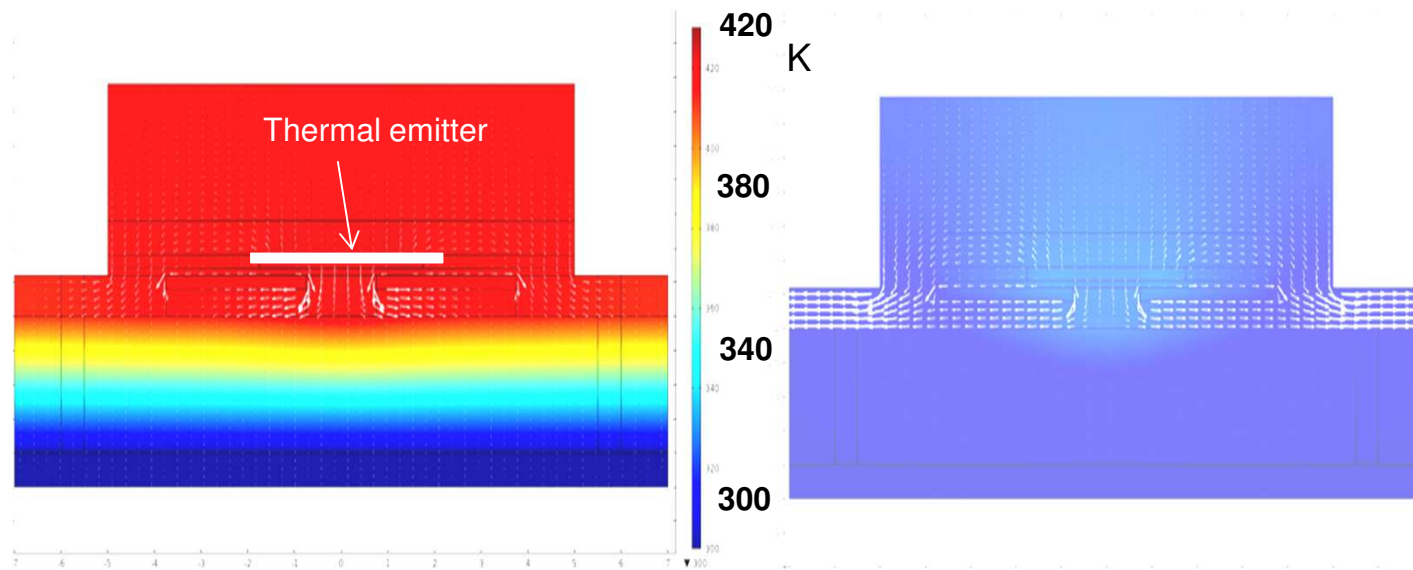
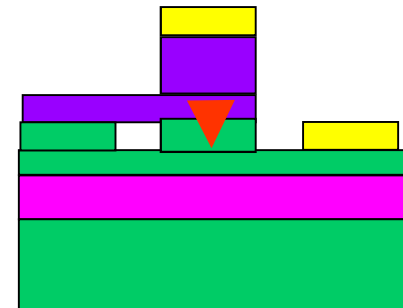
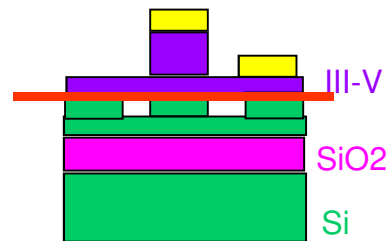
R. Inoue and K. Tanabe, *Appl. Phys. Lett.*, **114**, 191101 (2019)



C(V) curves n-InP/p-Si bonded interfaces

Electrical operation through the interface : Improved thermal behavior

Simulation results



M.N.Sysak et al., *Optics Express*, **15**,15041 (2007)

COMSOL simulation, C2N, unpublished

Bonding equipment at C2N

Presse Instron + four SEMCO

custom-design



*T up to 700°C
Perfect flatness on 2"
Bonding under vacuum*

SB6e-Suss Microtech bonding machine

*T up to 500°C
Flatness on 6"
Bonding under vacuum*



Description des échantillons

- Membrane InP épitaxié (450nm) épitaxiée sur InGaAs/InP par MOVPE
- Retrait des oxydes natifs des surfaces de l'InP et du Si
- Collage
- Retrait chimique sélectif du substrat InP et de la couche d'arrêt InGaAs

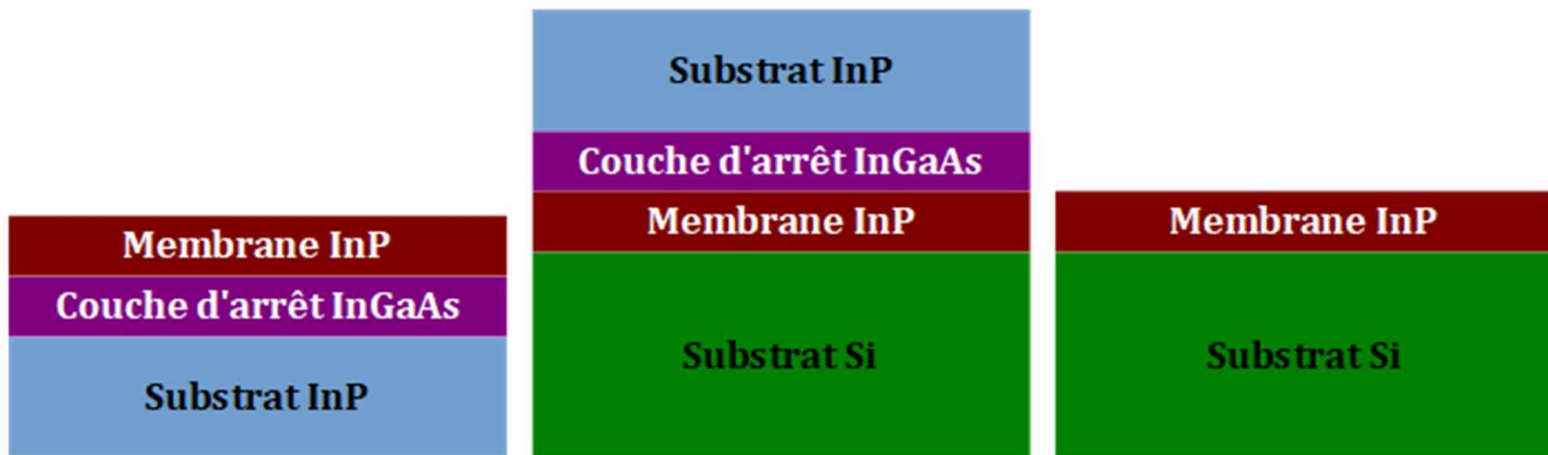


Fig. 2a : Structure épitaxiée

Fig. 2b: Structure après collage

Fig. 2c: Structure après retrait du substrat

InP / Si oxide-free bonding : experimental approach

1 - Both materials are grown, lattice matched, on their own substrate

$\Delta a/a = 8.10\%$

2 - Surfaces preparation, contact

3 - Annealing Vacuum $T=550^\circ\text{C}$, 90mn Pressure : 0.2MPa

High pressure uniformity

Mandatory : smoothness, flatness, cleanliness, reactivity

Surface to be bonded

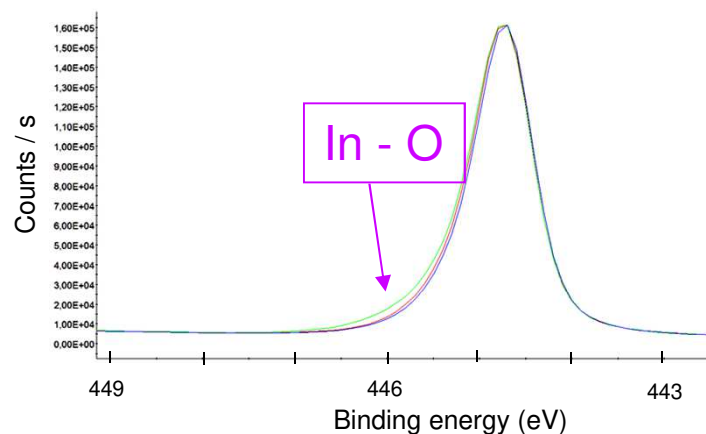
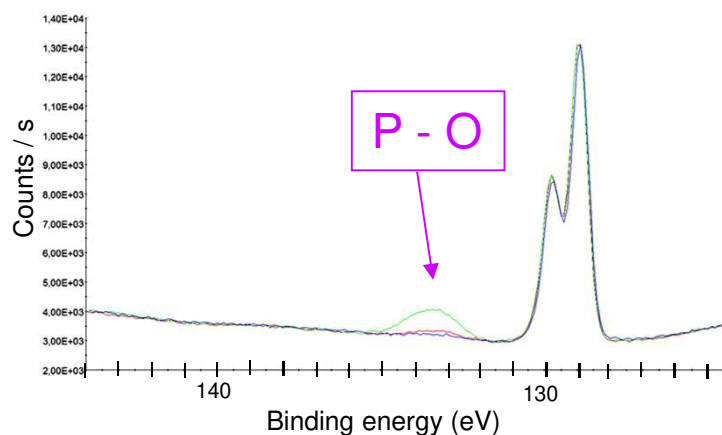
Quantum wells InP-based bonded stack

InP top layer	71 nm
5 InGaAsP barriers	16 nm
4 InGaAs QW	10 nm
InP	71 nm
InGaAs stop etch	300nm
InP buffer, InP substrate	300 μm

◆ Oxide-free surfaces Surface preparation:

Si : RCA, HF 4% \rightarrow hydrophobic, oxide-free -H terminated

InP : HF 40% \rightarrow oxide-free

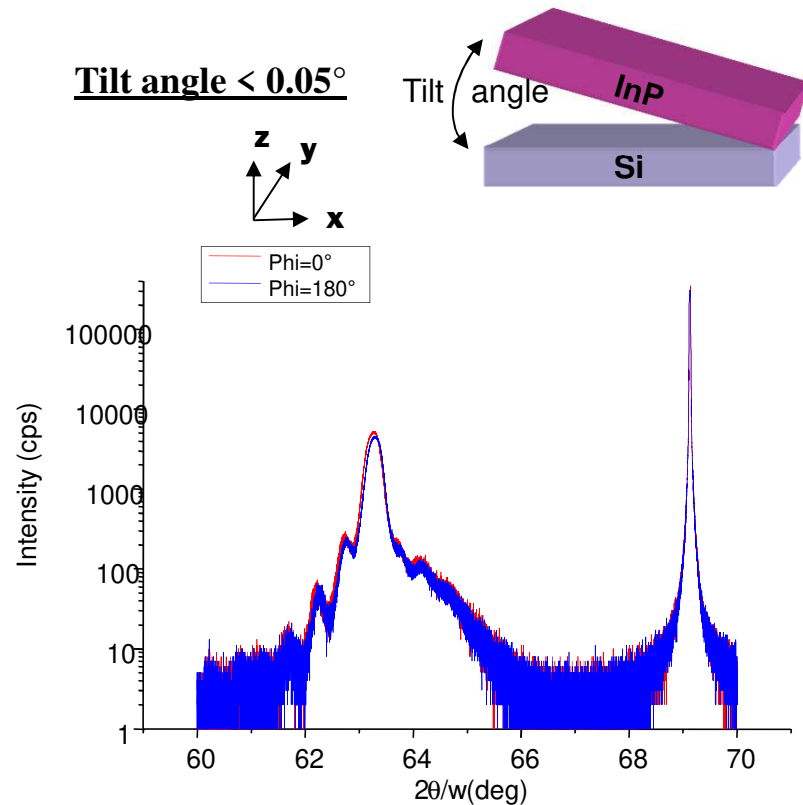


XPS of oxide-free InP surface

X-Ray characterization of the bonded membrane

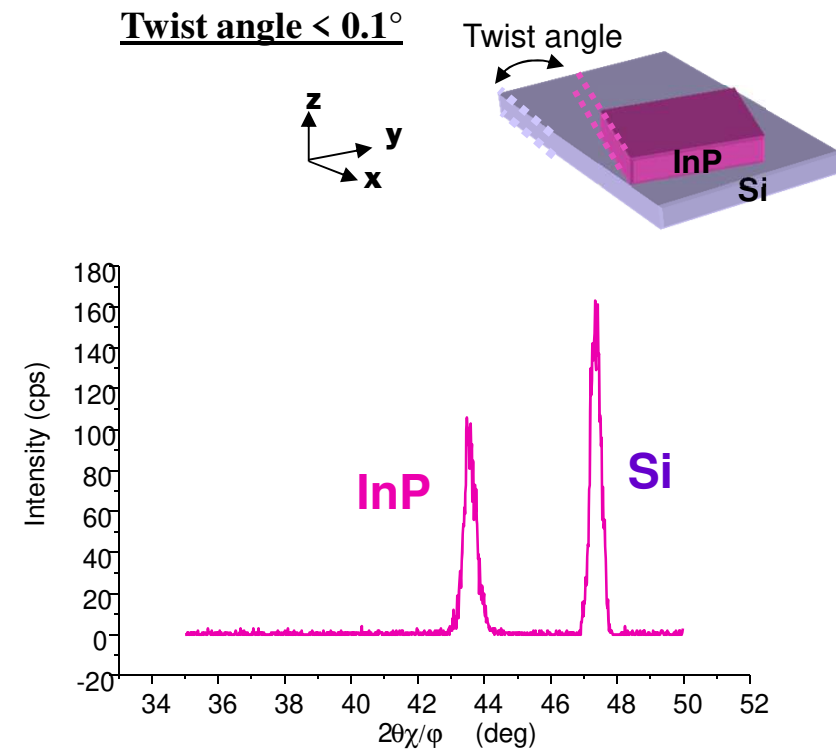
InP/Si $\Delta a/a=8.10\%$

Perpendicular mismatch $\sim 8.151\%$



Diffraction peaks due to (004) planes, versus angular position of the detector

Parallel mismatch $\sim 8.152\%$



Diffraction peaks due to (220) planes normal to the surface

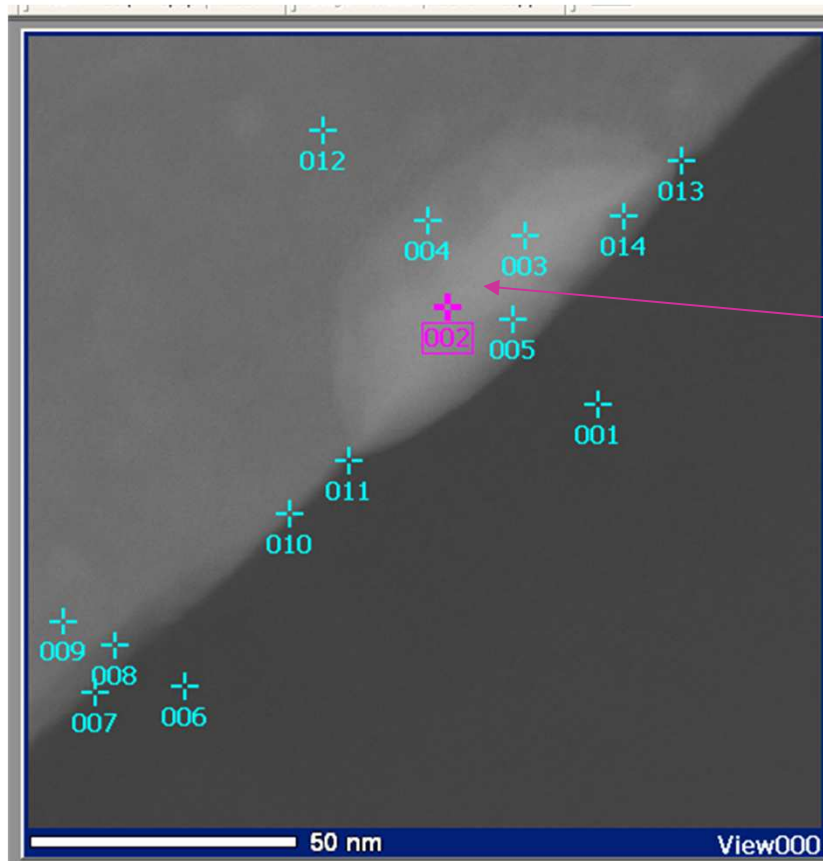
◆ Correct relative alignment of both crystals

Tilt angle $< 0.05^\circ$

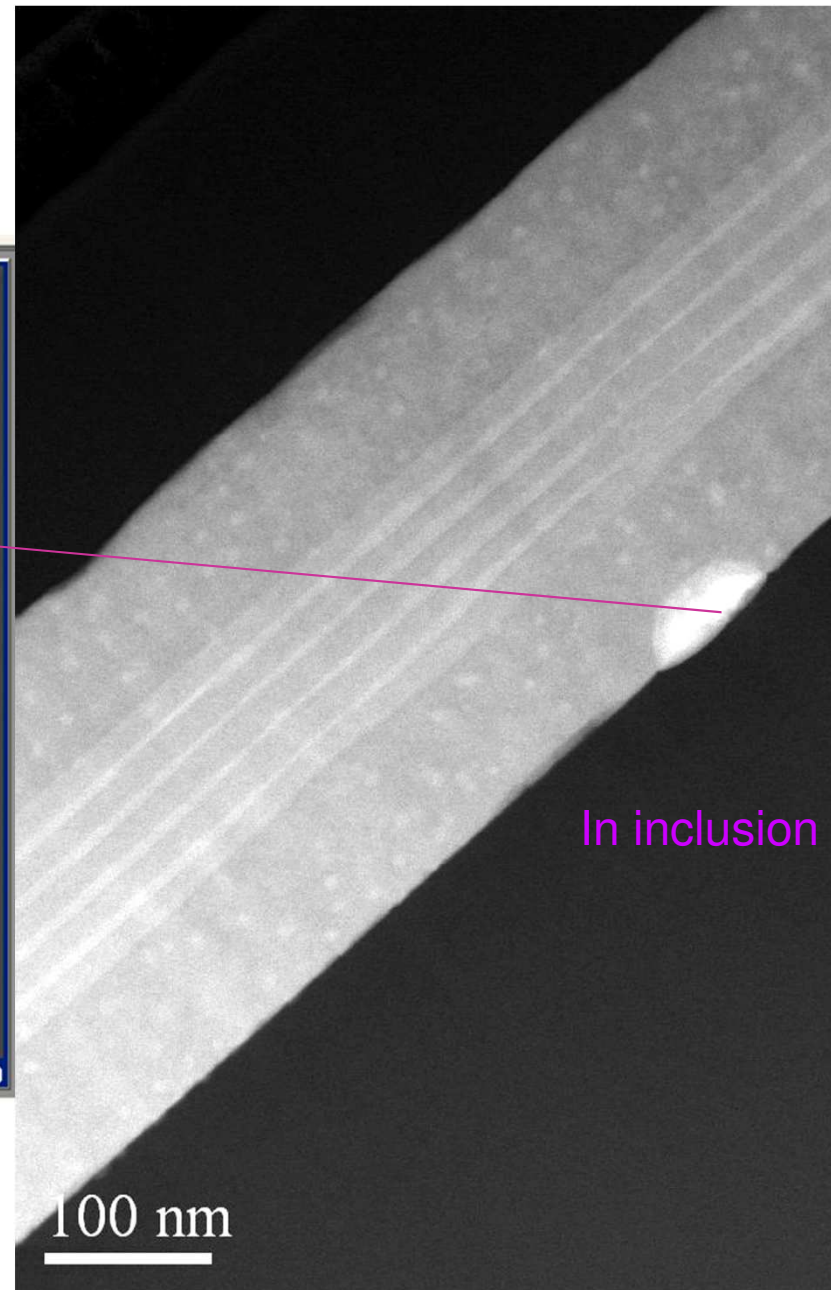
Twist angle $< 0.1^\circ$

TEM characterization of the bonded interface

Bright field STEM micrograph



◆ No voids at the bonded interface



TEM characterization of the bonded interface

$a(\text{InP}) = 5.87 \text{ \AA}$

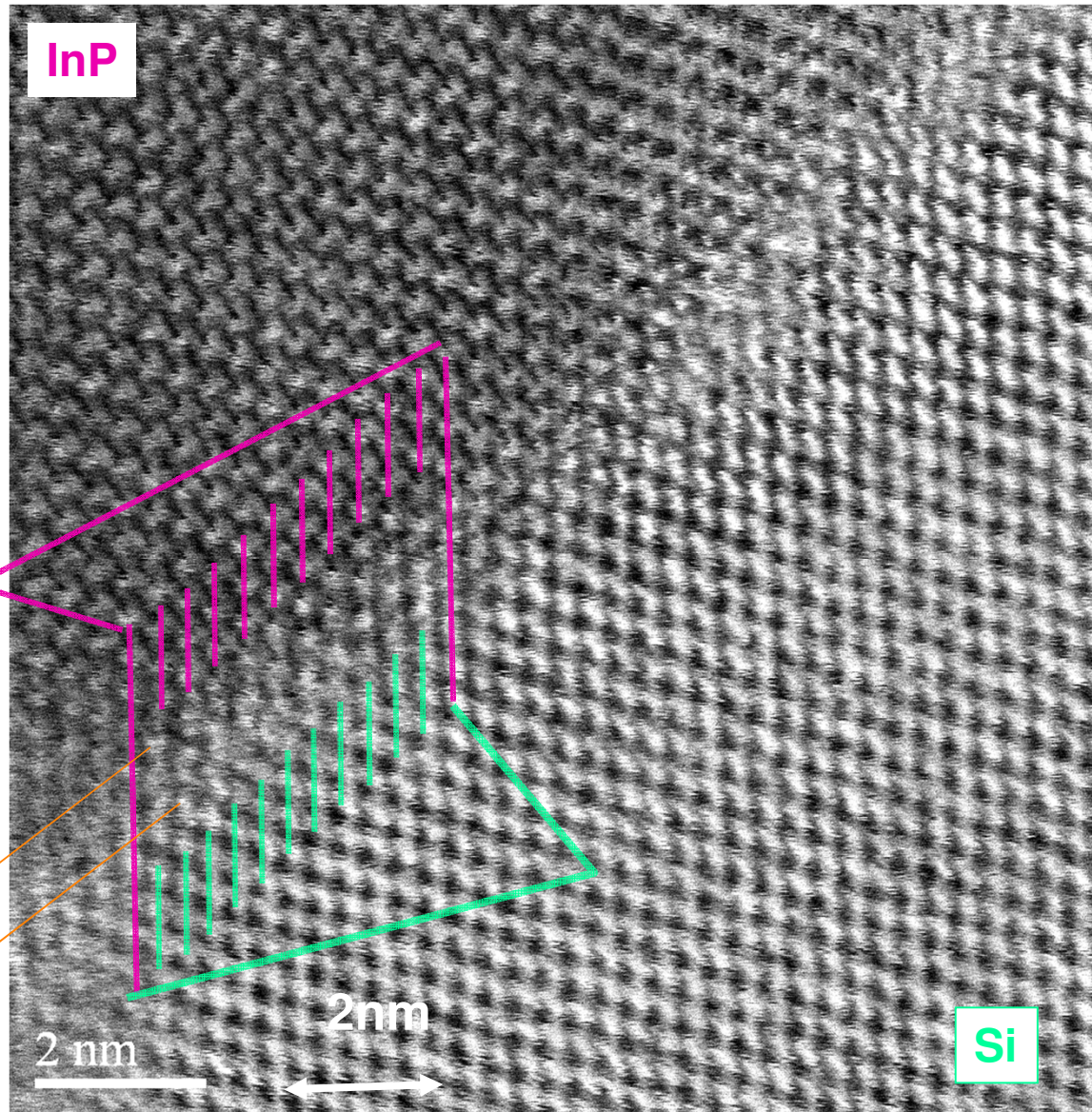
$a(\text{Si}) = 5.43 \text{ \AA}$

Bright field
STEM
micrograph

(111) planes

$11 \times (111)$

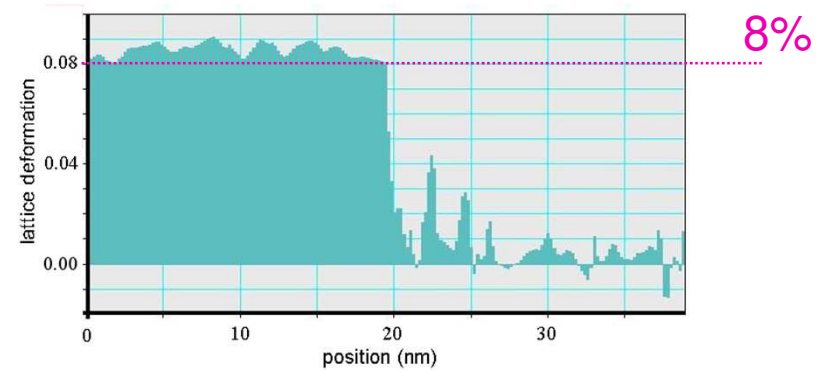
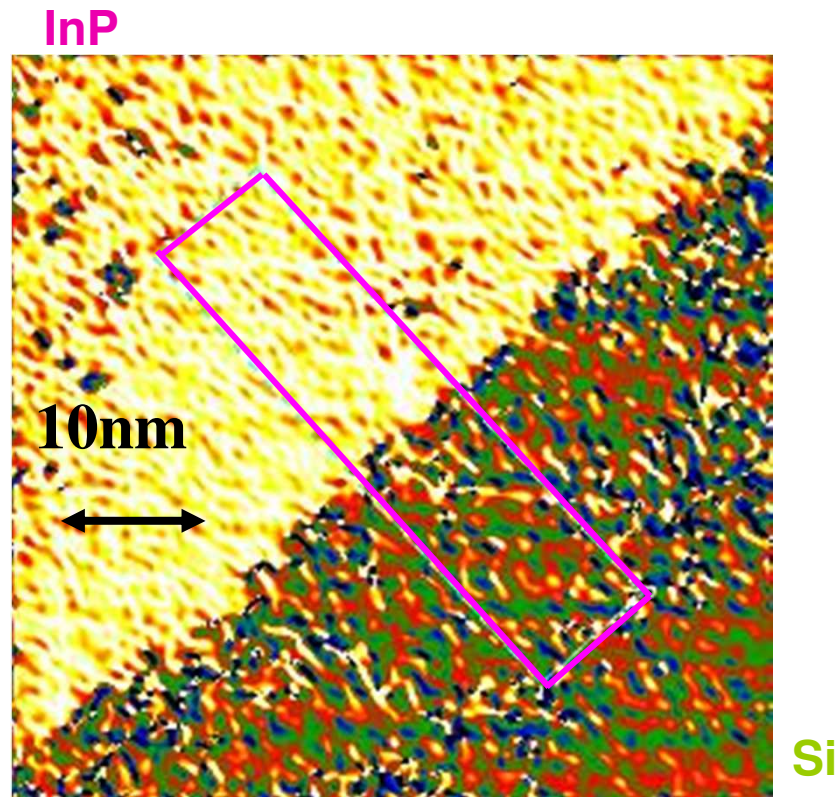
$12 \times (111)$



◆ Atomic-plane-thick reconstruction across the interface

Geometrical Phase Analysis cartography

Local analysis of lattice parameter



◆ Abrupt interface transition

Room Temp. Photoluminescence of bonded QW

Bonding operating conditions

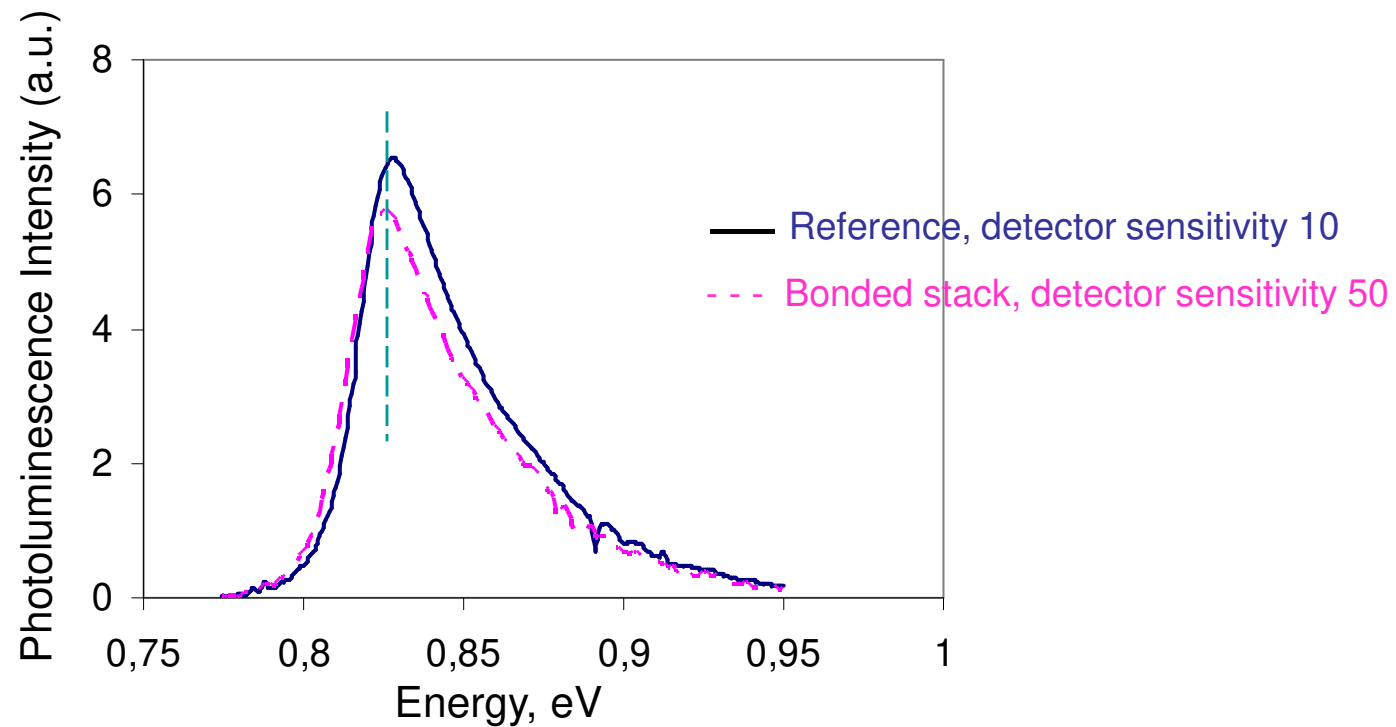
Oxide-free : vacuum

T=550°C , 90mn

Low Pressure : 0.2MPa

High pressure uniformity

InP top layer	71 nm
5 InGaAsP barriers 4 InGaAs QW	16 nm 10 nm
InP	71 nm



PL shift < 3meV

◆ No strain in the bonded QW

Oxide-mediated bonding mechanism of III-V materials on Si

State of the art

Both surfaces are cleaned and desoxidized

◆ 3 steps process

1st : Oxide layer Elaboration

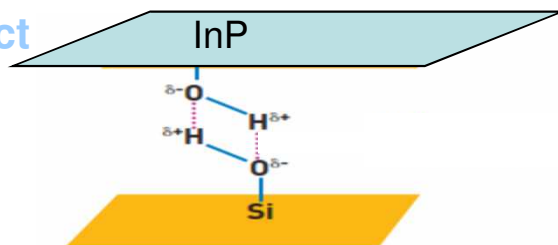
Several techniques are used:

- SiO₂ PECVD
- SiO₂ Sputtering
- O₂ plasma CCP- RIE
- O₂ plasma ICP- RIE
- Thermal oxidation, for the Si surface

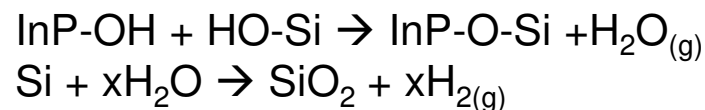
2nd : Oxide layer Activation

- O₂ plasma RIE-CCP
- O₃ A.Itawi et al., JVSTB, **21**, 3784 (2013)

3rd : Contact



and Thermal annealing



SiO₂ PE-ALD layer

+ The thinnest layer ~ 1 MonoLayer

+ Conformal layer when structured surfaces are concerned

→ Oxide Deposition and Surface Activation in a single processing step

SiO₂ Plasma Enhanced ALD cycle

Equipment: Fiji 200
Ultratech–Annealsys



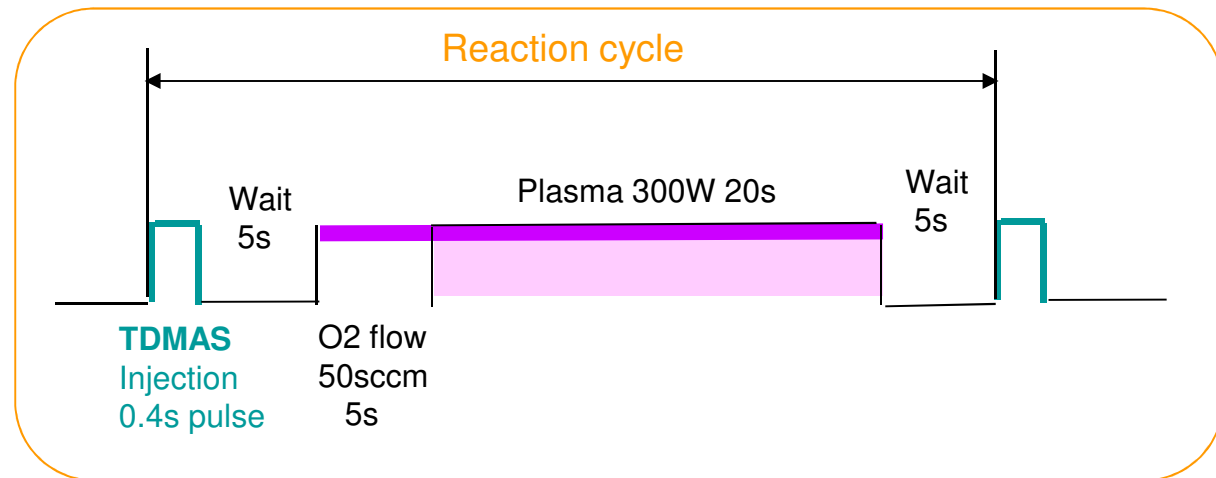
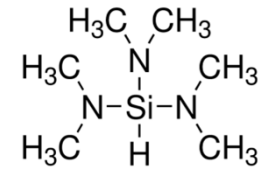
Operating conditions

Precursor : TDMAS

Oxidant: O₂ Gaz

Plasma Assisted

T=250°C



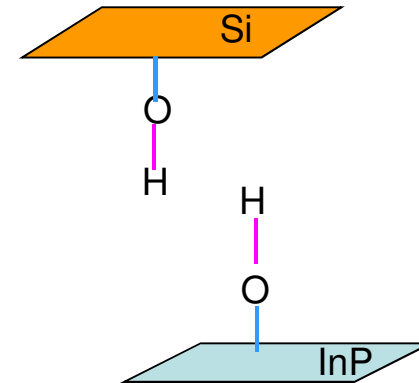
SiO₂ ALD layers

@ 0.6nm/cycle

Fabrication
2 cycles
4 cycles
30 cycles

Ozone activation of both InP and Si surfaces

- De-oxidized surfaces, HF last
- No oxide deposition
- O₃ activation of both surfaces
→ Hydroxyl groups on both surfaces



Condensation under annealing $\text{InP-OH} + \text{HO-Si} \rightarrow \text{InP-O-Si} + \text{H}_2\text{O}_{(g)}$

Should provide → Single oxygen atomic plane at the interface

Equipment: UV cleaner, Jelight

Operating conditions

- High intensity low pressure mercury vapor UV grid lamp ($\lambda=184.9\text{nm}$ & 253.7nm)
- Air atmosphere, no Oxygen flow
- 30s

Surface characterization by X-ray Photoelectron Spectroscopy

→ Measure the Si–O links

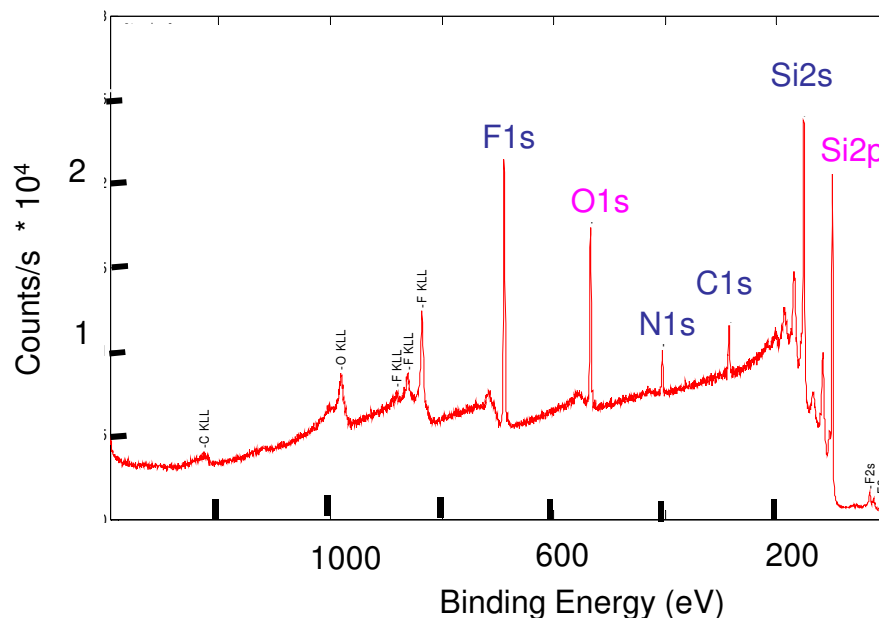
Equipment : PHI 5000 VersaProbe
Scanning XPS Microprobe



Measured samples

- ◆ Si HF last, hydrophobe
 - ◆ SiO₂ PECVD 5nm thick
 - ◆ 4 cycles SiO₂- PE-ALD layer
 - ◆ O₂ plasma RIE low bias 50V on Si
 - ◆ O₂ plasma ICP no bias on Si
 - ◆ O₃-activated Si surface
- } references

General spectrum



Operating conditions

Source X : Al K_α monochromatic
($h\nu=1486.6$ eV), 15kV, 39.3W

Beam diameter: 200 μ m

Emergence angle: 45°

Mean free path: ~ 4nm

General spectra

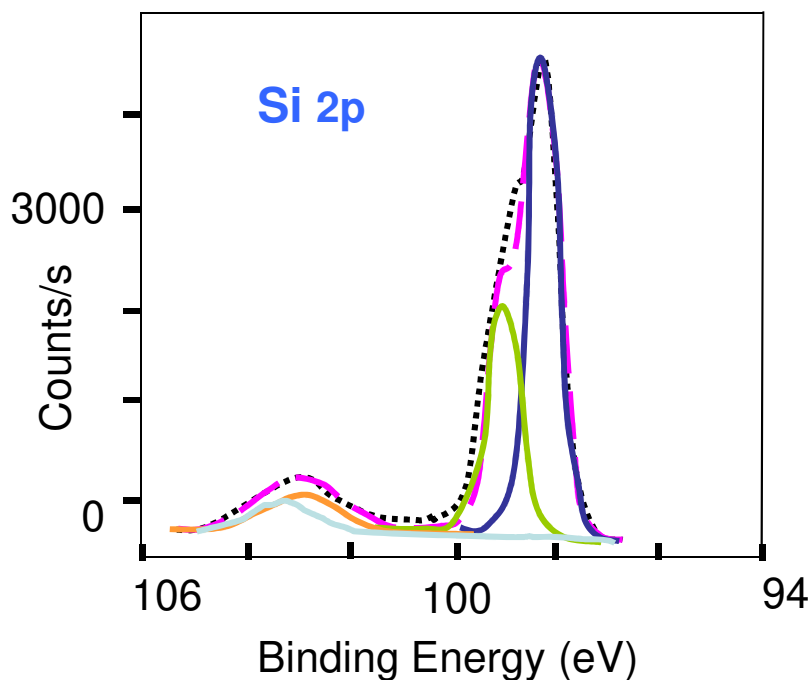
Pass Energy: 187.85 eV

Step: 0.4 eV

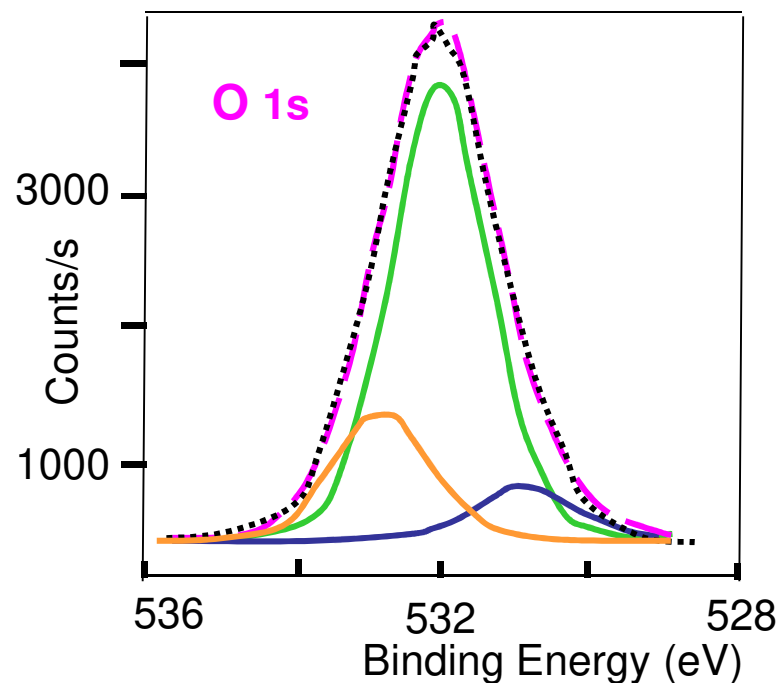
O3 activation XPS surface characterization : Detailed spectra

Pass energy 23.5 eV
Step : 0.1 eV

Ozone-activated Si surface



- **99.24 & 99.89 eV** @ Si substrate
- **103.07 & 103.72 eV** @ SiO₂ and SiOH



- **531.38 eV** @ SiOH
- **532.47 eV** @ SiO₂
- **533.21 eV** @ H₂O

Each fit gives the atomic proportion of the related chemical bond to the measured intensity

O3 activation XPS surface characterization : chemical bonds

Si-O $\frac{[\text{SiOH}] + [\text{SiO}_2]}{[\text{Si OH}] + [\text{SiO}_2]} = \frac{[\text{O1s}]}{[\text{Si 2p}]}$ $\text{SiO}_2 \text{ only } \frac{[\text{O1s}]}{[\text{Si 2p}]} = 2$ $\text{SiOH only } \frac{[\text{O1s}]}{[\text{Si 2p}]} = 4$

$\frac{[\text{SiO}_2] + [\text{SiOH}]}{[\text{Si substrate}]}$ is the signature of the thickness of the SiO₂ layer
Mean free path: ~ 4nm

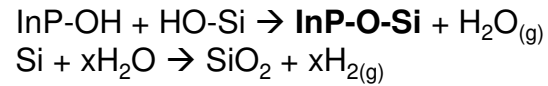
O2 Plasma-based

		Surface Preparation	SiO ₂ sput.	SiO ₂ PE-ALD 4 cycles	Si RIE CCP 50V	Si RIE ICP no bias	Si O ₃
Si-O chemical bonds Signature of the thickness of the SiO ₂ layer	← $\frac{[\text{O1s}]}{[\text{Si 2p}]}$		2.23	2.46	2.48	2.68	2.78
	← $\frac{[\text{SiO}_2] + [\text{SiOH}]}{[\text{Si substrate}]}$		25.9	0.48	2.22	0.61	0.2

PE-ALD oxide layer bonding : 2.9nm oxide interface

Annealing conditions

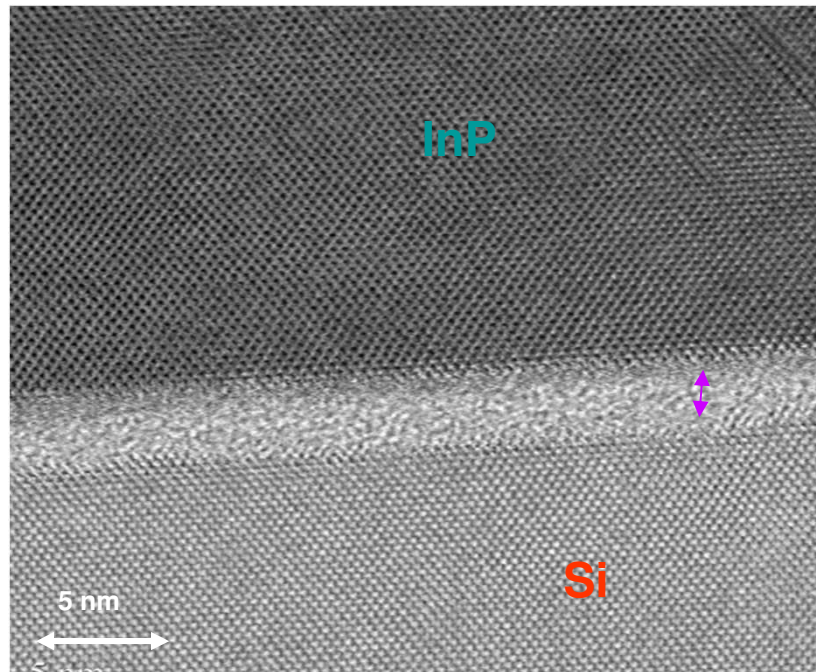
- Vacuum
- T=300°C , 3 h
- Low Pressure : 0.2MPa
- High pressure uniformity



400nm thick InP membrane bonded on Si
after selective chemical removal of the substrate and the etch stop layer

4 cycles ALD layer

Hybrid interface TEM characterization



Atomic-resolution HAADF Bright Field STEM image of the hybrid interface between InP and Si

Oxide layer thickness
~2.9nm

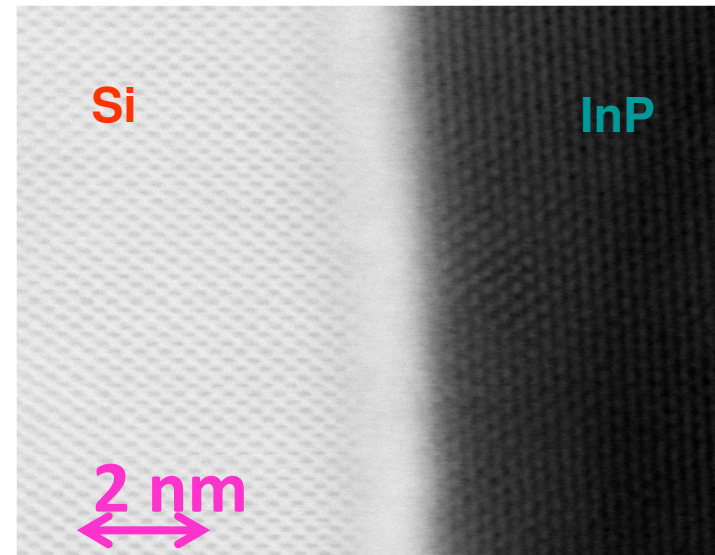
Structural and Chemical analysis of the Ozone Bonded Interface : 1.2 nm-thick oxide layer

Annealing conditions

- Vacuum
- **T=300°C** , 3 h
- Low Pressure : 0.2MPa
- High pressure uniformity

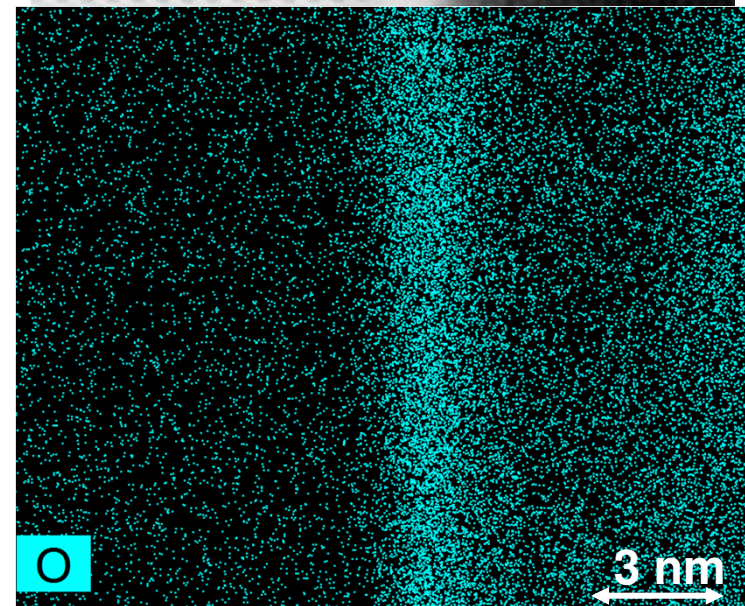
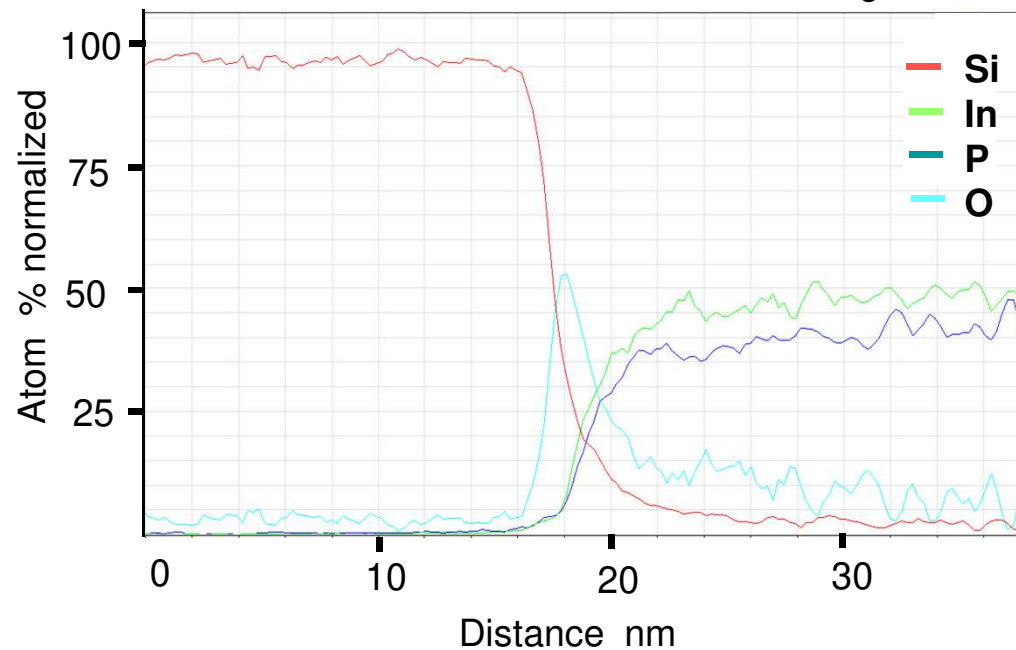
400nm thick InP membrane
bonded on Si

Bright field STEM
of the interface



EDX measurement

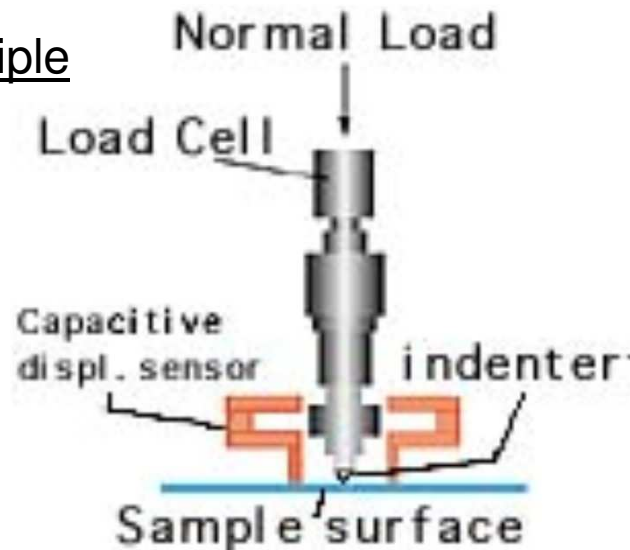
Concentration of Si – In – P - O element all along the interface



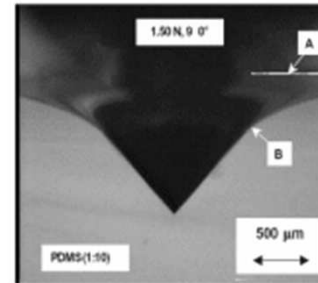
Cartography of O distribution at the interface

Bonding energy measurement by instrumented Nanoindentation

Principle



Berkovitch tip



Indentation à 20mN

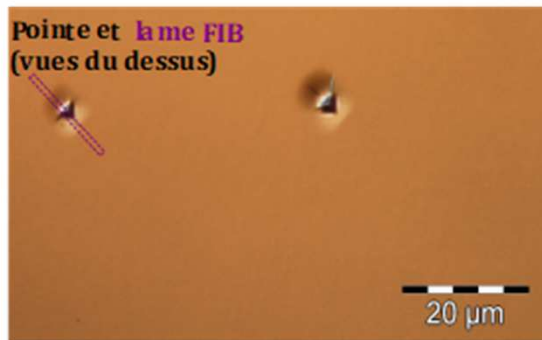
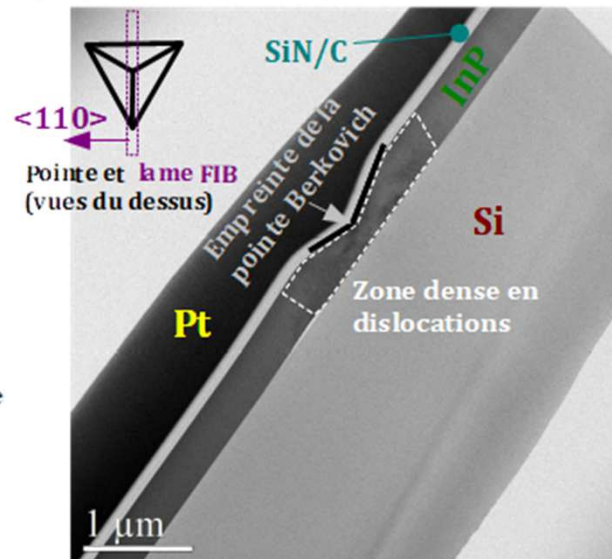
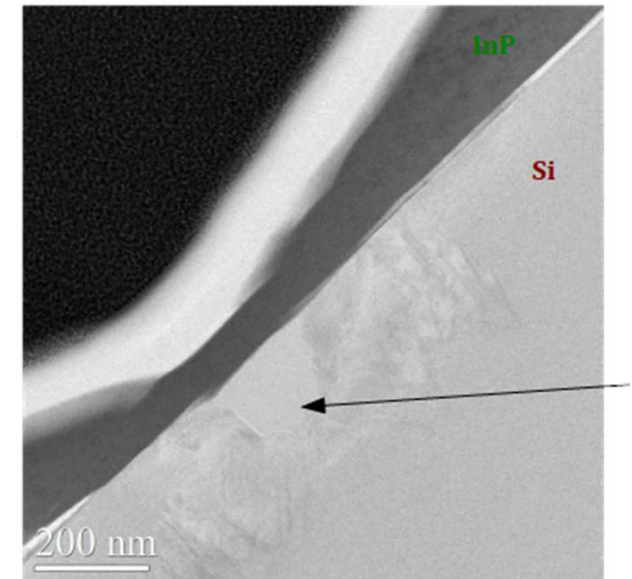


Fig. 5a : Image Nomarsky de cloques sur la membrane InP après indentation à 10 et 20 mN



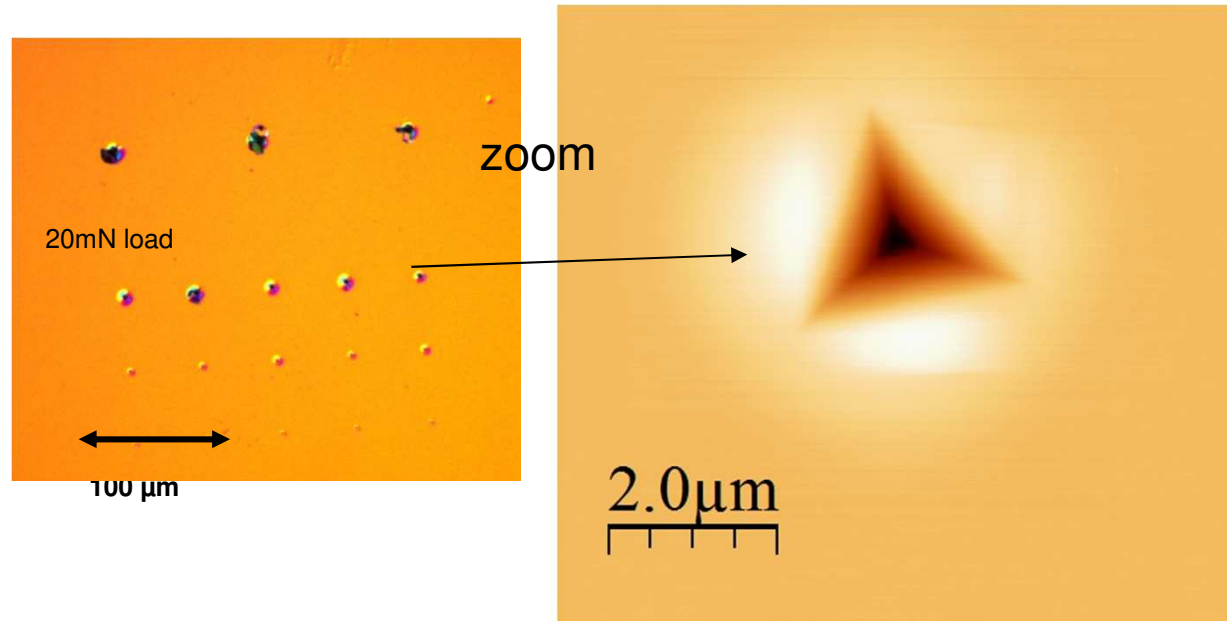
Aux fortes charges, Si est amorphisé sous la pointe



Collaboration with
Eric LeBourhis, Institut P' Poitiers

Bonding energy measurement by instrumented Nanoindentation

AFM Image of a blister



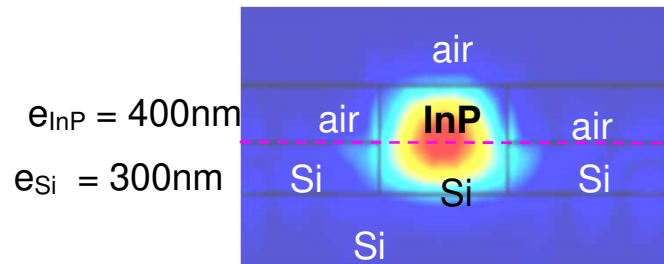
The bonding energy
$$\gamma = \frac{3E_{InP}t_{InP}^3t_b^2}{16L^4}$$

L and t_b are the blister length and height

E_{InP} and t_{InP} are the Young modulus and the thickness of the InP membrane

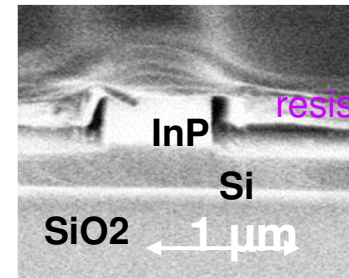
Photonic devices including an hybrid interface

Design cross view



Shallow ridge waveguide

TE mode
 Cartography of E Intensity
 (Lumerical simulation)



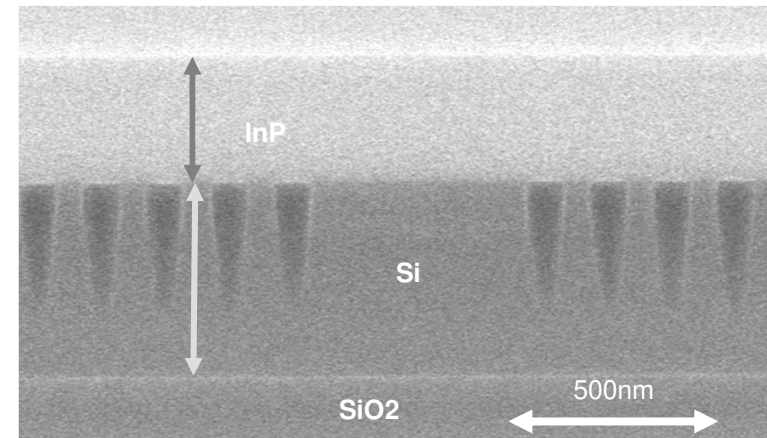
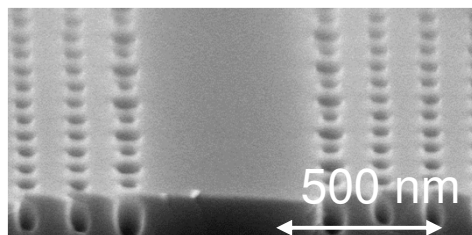
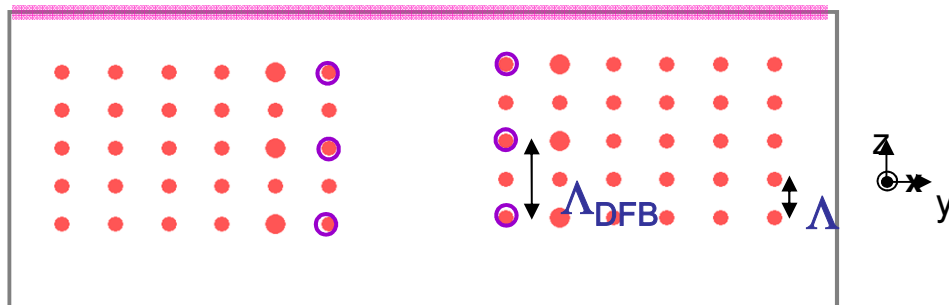
Technology:

- HSQ e-beam lithography
- Cl_2 -based ICP etching

Hybrid cleaved facet

Sub- λ metamaterial + super periodicity waveguide

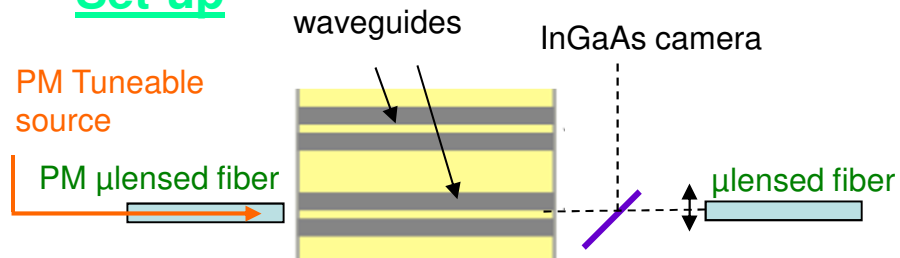
Design top view



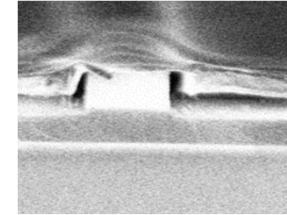
Hybrid cleaved facet

Optical performances of the photonic devices

Set-up

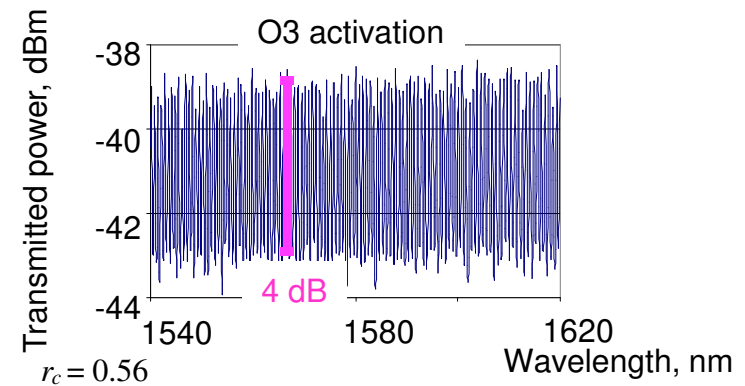
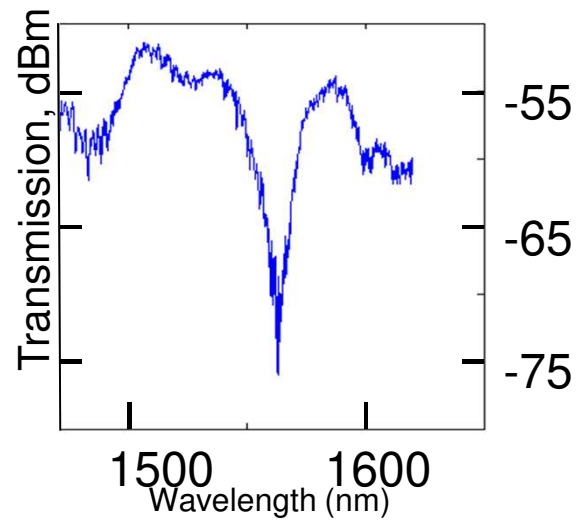
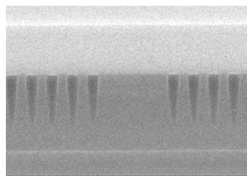


Shallow ridge waveguide Losses measurement:



Fabry-Perot resonance method : Propagation losses are calculated from the fringe contrast

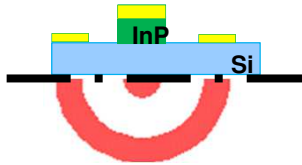
Wavelength selective waveguide



→ Propagation losses $\alpha = 5.0 \text{ cm}^{-1}$

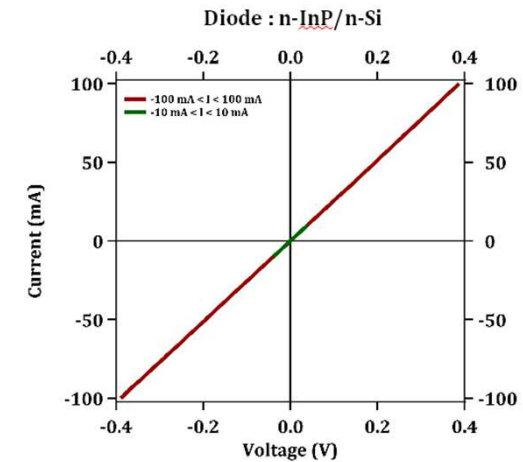
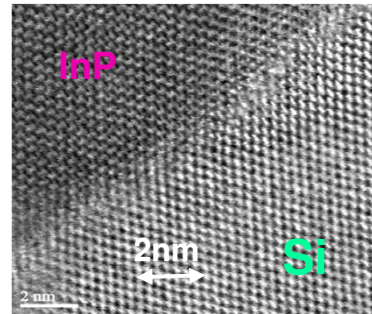
Electrical transport through the interface

Contact geometry



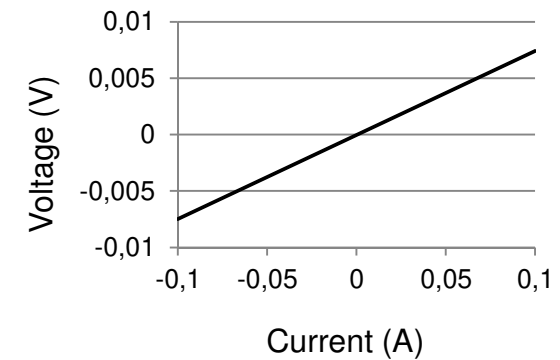
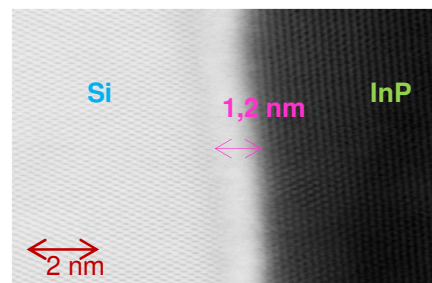
n-doped (10^{19} cm⁻³) 500nm thick InP membrane bonded on a n-doped 10^{19} cm⁻³ Si substrate

InP/Si oxide free interface



K. Pantzas et al., IPRM 2014

1,2nm oxide InP/Si interface



A. Talneau et al., MNE , 2018

Conclusion

Wafer fusion of III-V on Silicon or SOI for hybrid photonic devices

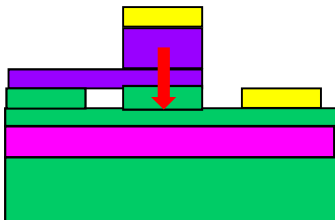
Bonding processes:

- ◆ Oxide-free @ 500°C
 - ◆ Oxide-mediated (SiO_2 1.2nm) @ 300°C
- } → High Optical Quality of the InP/Si Interface
→ Efficient Electrical transport through the interface

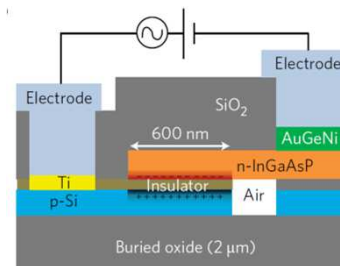
Hybrid photonic devices

- ◆ shallow ridge waveguide
- ◆ Wavelength selective waveguide

→ Hybrid III-V /Si laser polarized through the interface



→ Hybrid III-V /Si MOS capacitor
Mach-Zehnder modulator



T. Hiraki et al., Nature Photonics, 11,482 (2017)